

# MicroRNAs: A new Class of Substances for the Therapy of Diseases

Thomas Thum, MD, PhD



**Disclosures:** TT holds and licensed several miRNA/lncRNA patents in CV disease. TT is founder and holds shares of Cardior Pharmaceuticals GmbH. TT received support/holds advisory seats of Sanofi/Genzyme, Novo Nordisk, Takeda, Amicus Therapeutics, Boehringer Ingelheim.

MHH

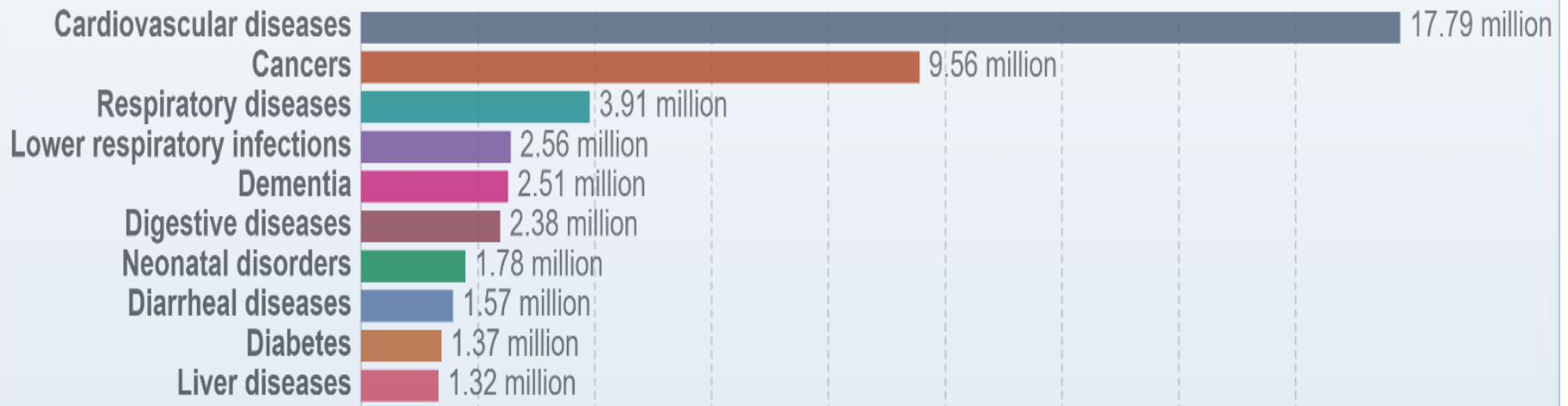
Hannover Medical School

## ■ Different Hats



- Director, Institute of Molecular & Translational Therapeutic Strategies (IMTTS)  
**Hannover Medical School**
- Director, **Fraunhofer Institute of Toxicology & Experimental Medicine (ITEM)**
- Founder & CSO,  
**Cardior Pharmaceuticals GmbH**

## ■ Unmet Medical Needs



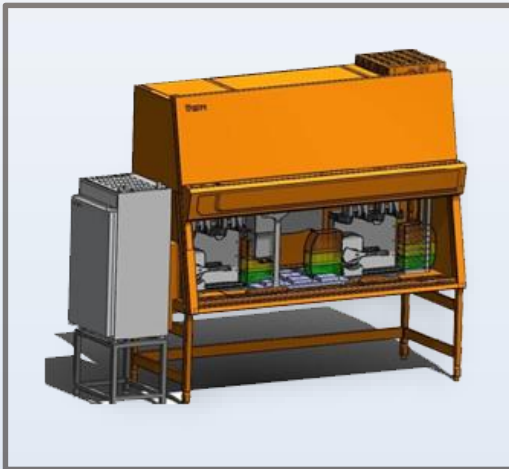
Source: IHME, Global Burden of Disease

OurWorldInData.org/causes-of-death • CC BY

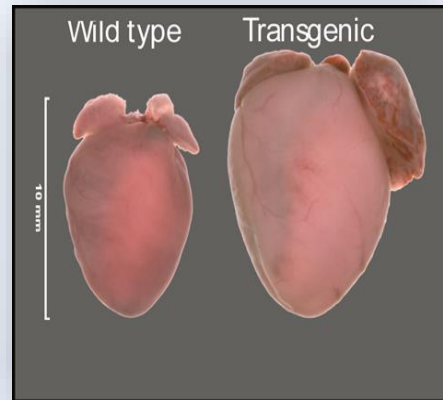
# ■ From Discovery Research to Translation

Established RNA drug development pipelines

High Throughput Screenings



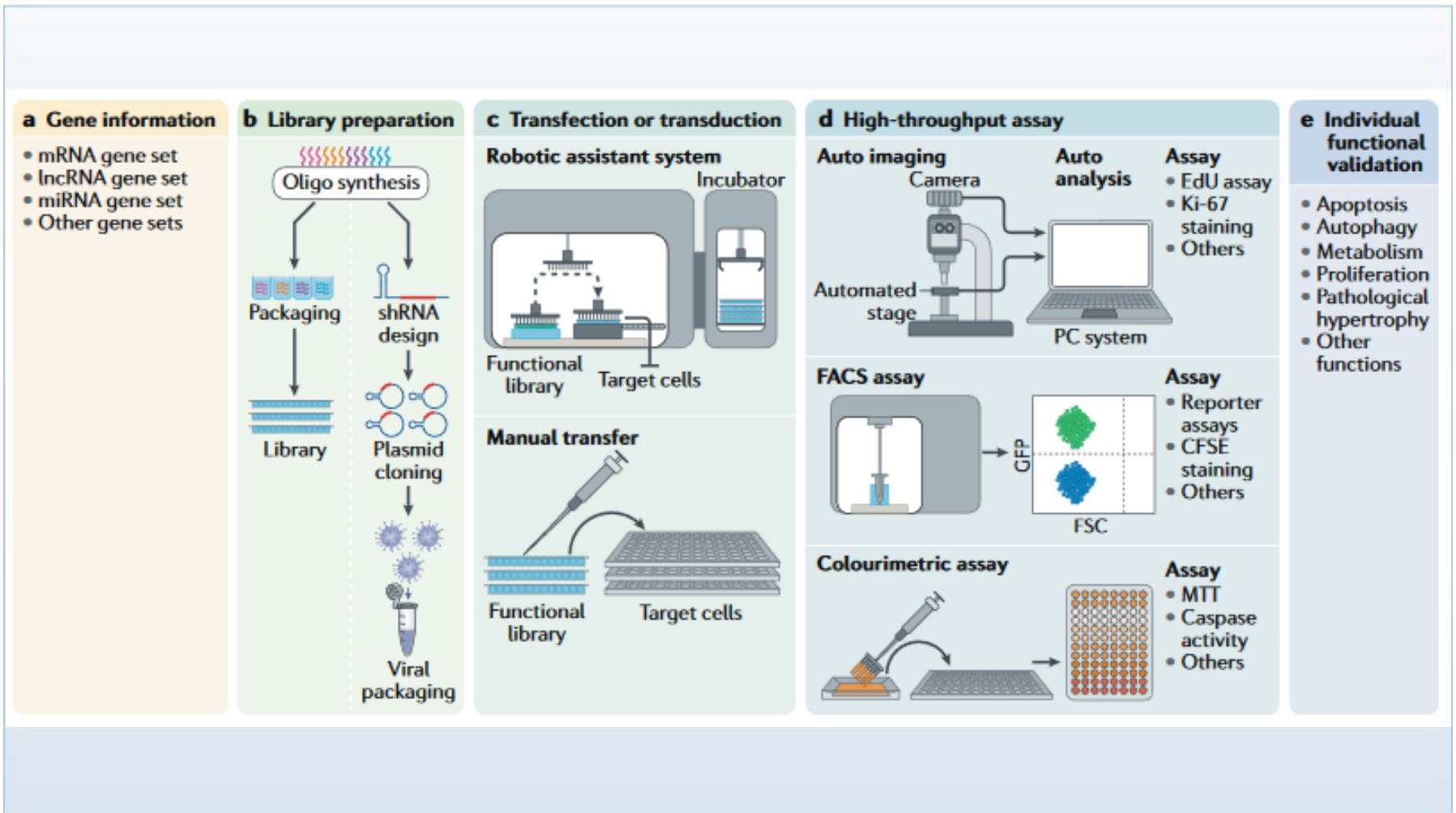
Target Validation & Indicative Safety



Efficacy in small & large animals

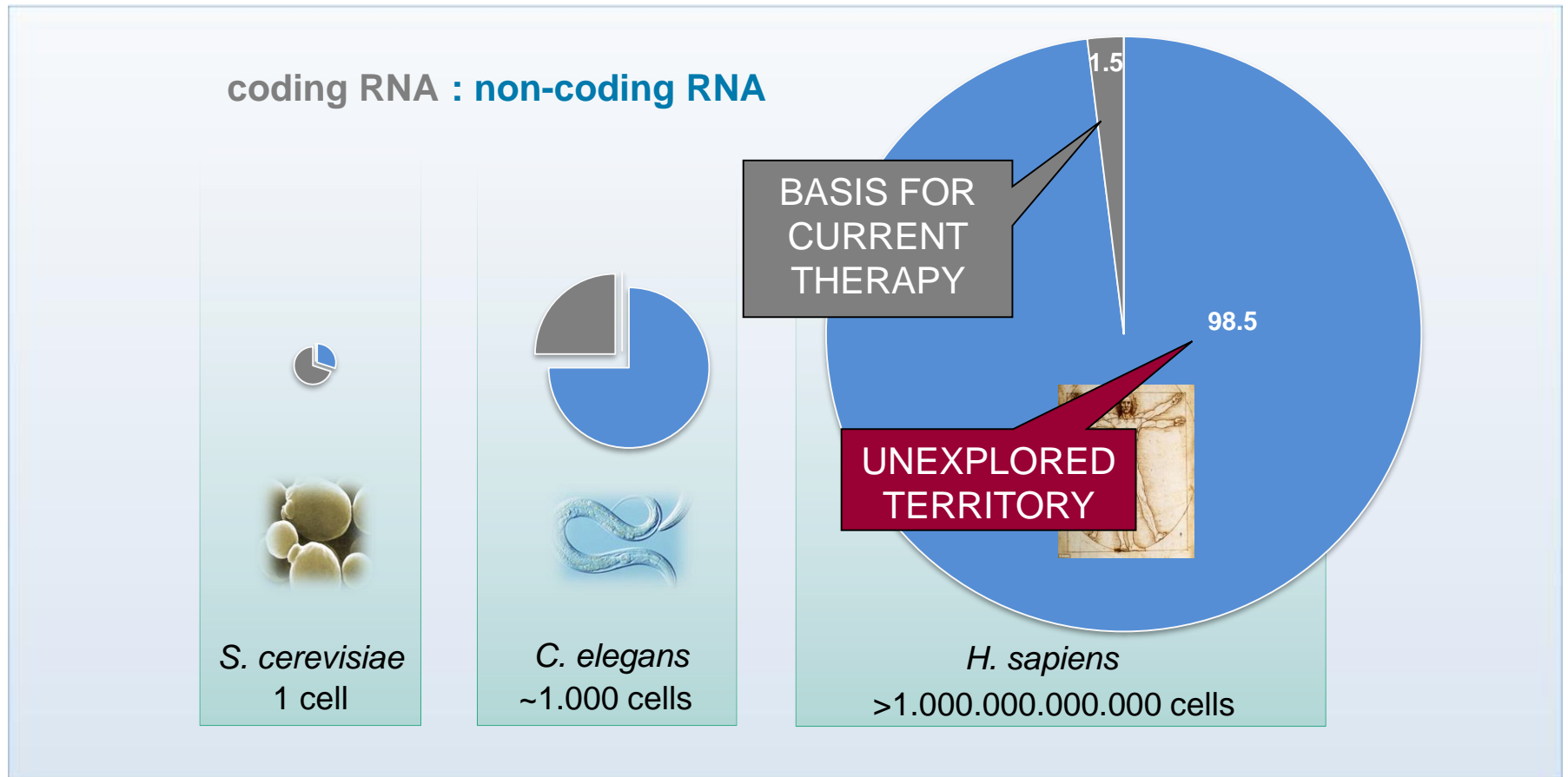


# Functional high throughput screening of RNAs



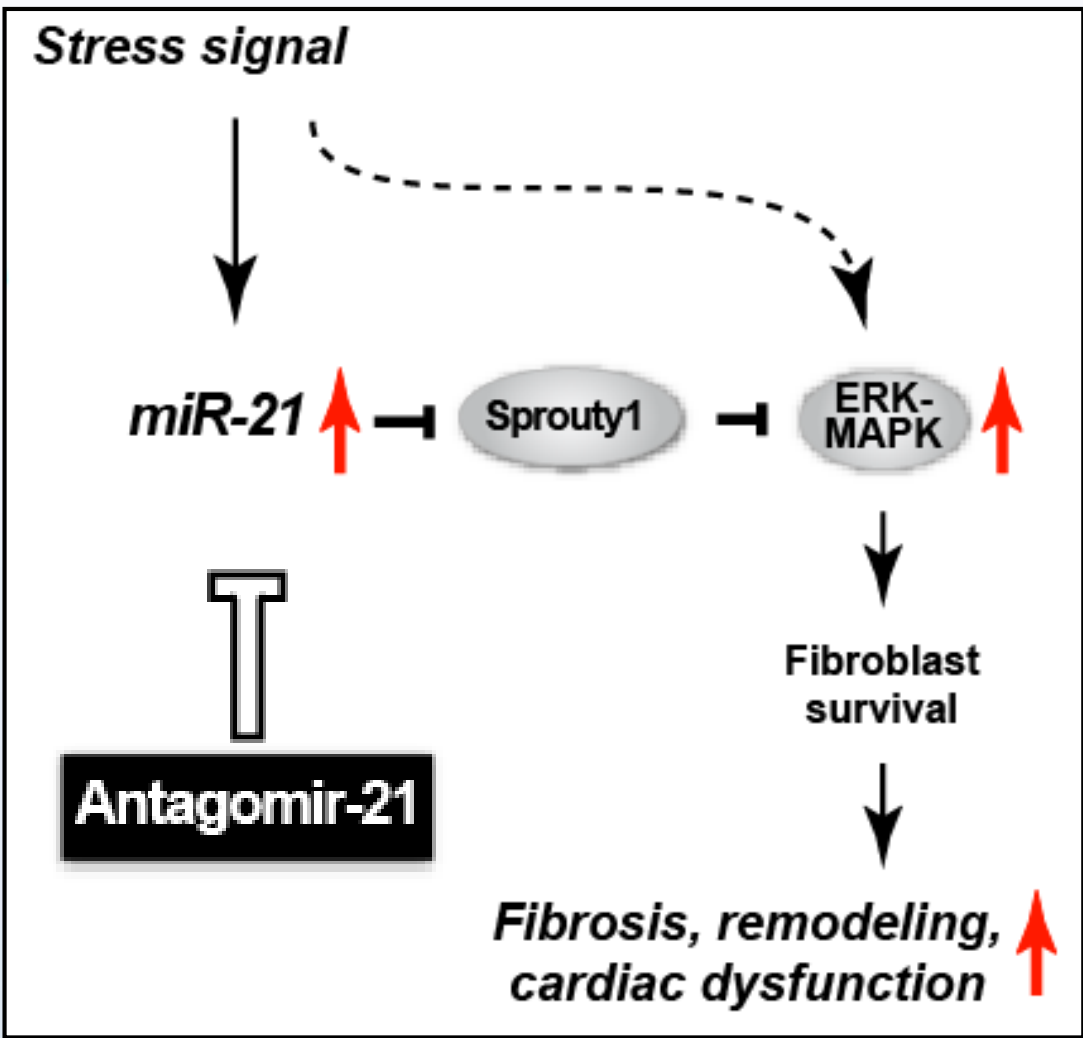
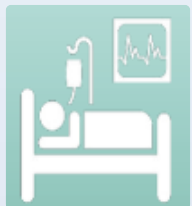
Lu & Thum, *Nature Reviews Cardiology*, 2020

# ■ Noncoding RNAs in evolution



Druggable targets: Silencing through inhibitory synthetic small oligonucleotides

(e.g. *Nature*, 2008; *Nature Genetics*, 2010; *Nature Comm*, 2012; *Science Trans Med*, 2016; *Nature Comm*, 2017, 2019, 2020; *Eur Heart J*, 2020, *Eur Heart J*, 2021 a,b, *JACC*, 2021)



Nature, 2008;456:980-4

## ■ miR-21 inhibitors as general anti-fibrotic innovative drugs



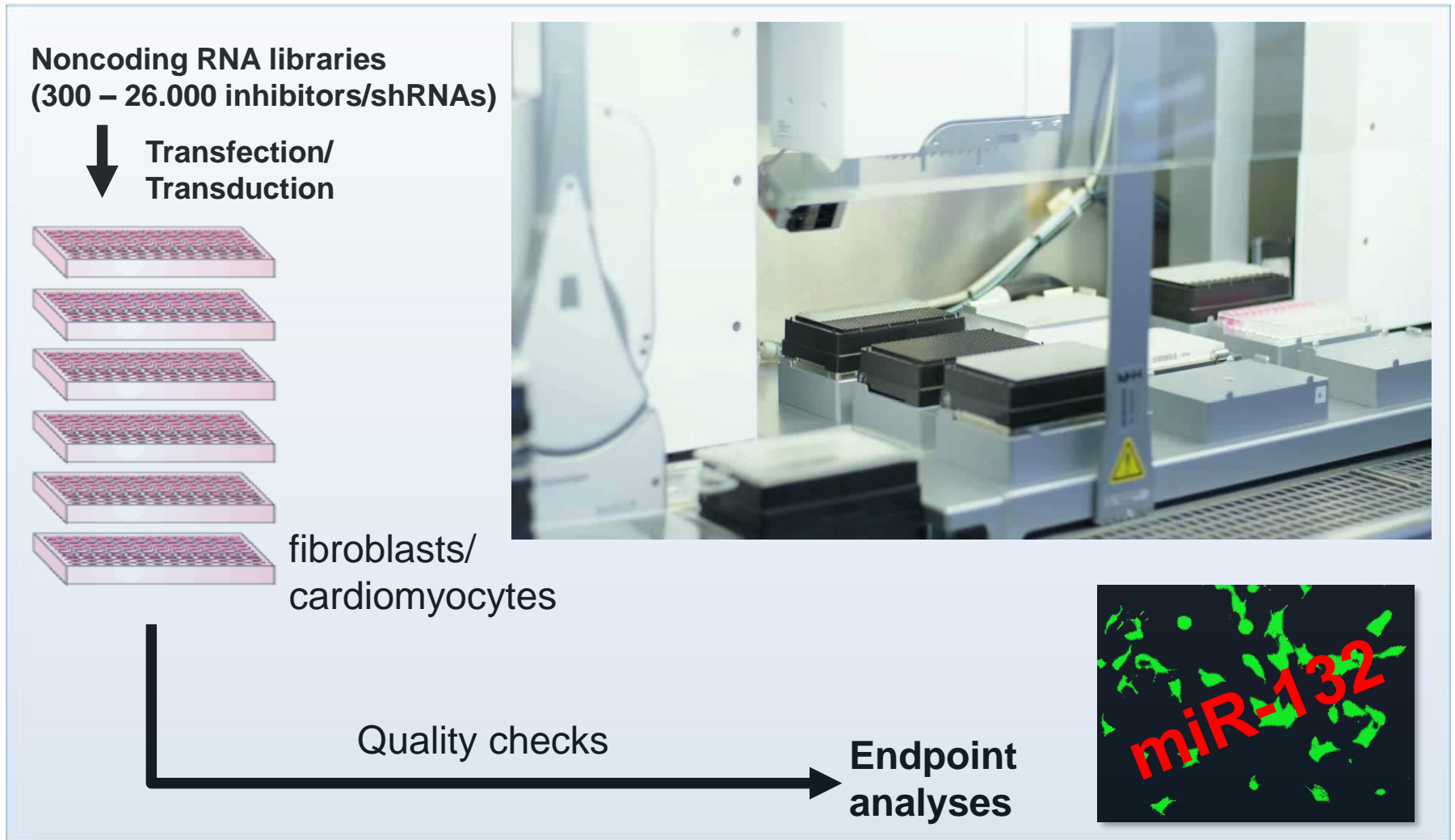
### Translational Success / Tech Transfer

- 2010: **IP licensed** to Regulus Therapeutics; sublicensed to Sanofi
- 2017–18: **Phase I** Clinical Study; NCT03373786
- 2019: HERA, a **Phase II** randomized (1:1), double-blinded, placebo-controlled study evaluating the safety and efficacy of RG-012 in Alport syndrome patients (NCT02855268)

**J Exp Med.** 2010;207:1589-97; **J Clin Invest.** 2011 Feb;121(2):461-2;  
**Cancer Res.** 2015 May 1;75(9):1859-67; **Clin Sci (Lond).** 2016 Aug 1;130(16):1469-80



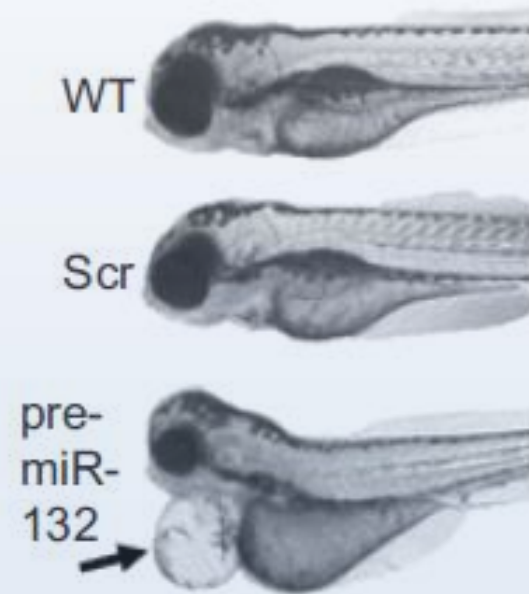
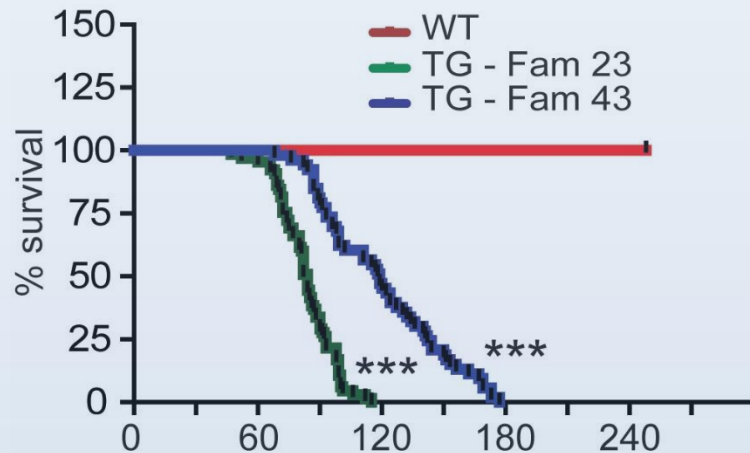
# High-throughput functional microRNA profiling



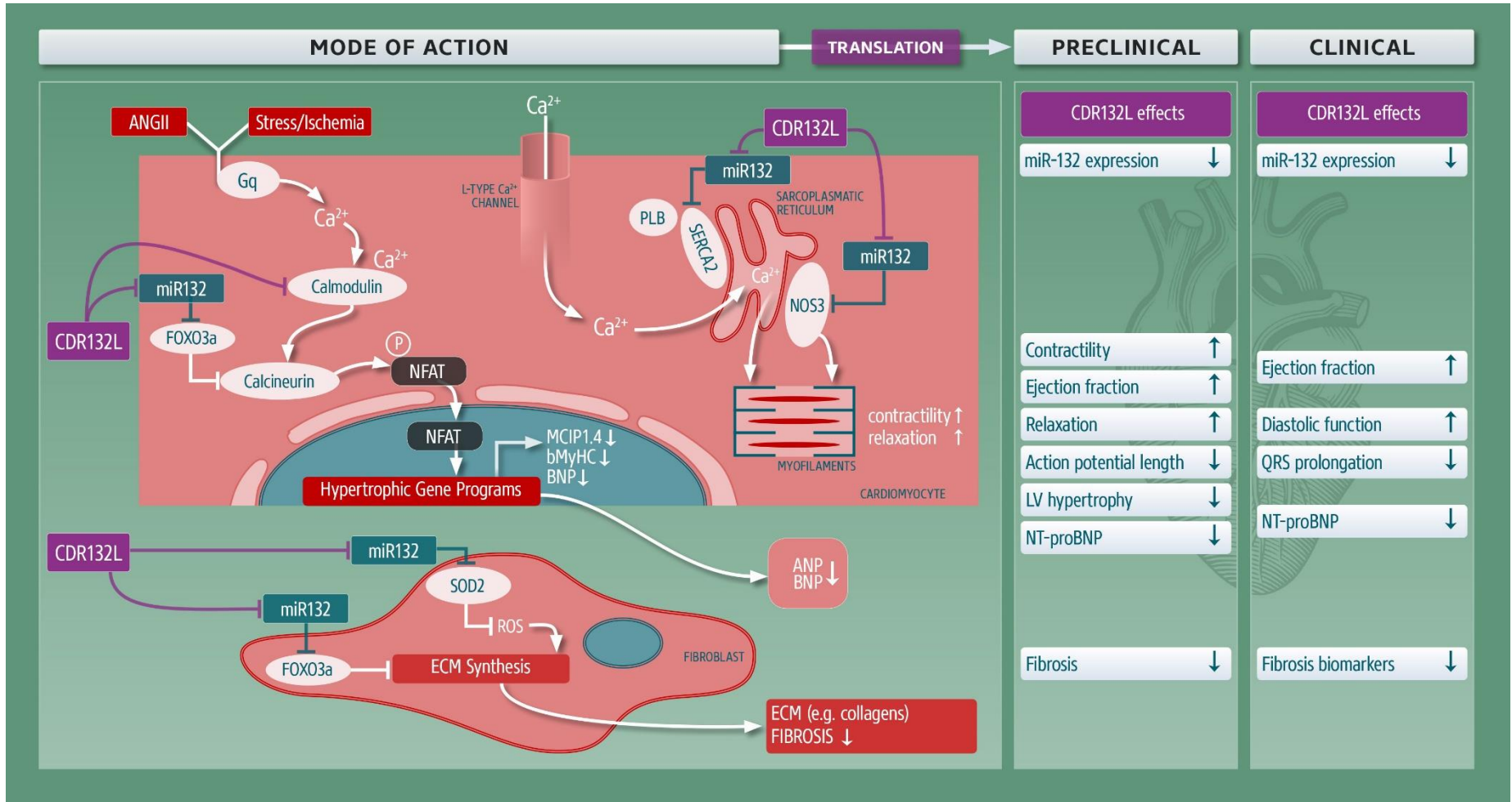
Ucar, Gupta et al., *Nature Comm*, 2012;

## ■ Hit to Lead studies:

### Generation of a cardiomyocyte-specific miR132 transgenic mouse model

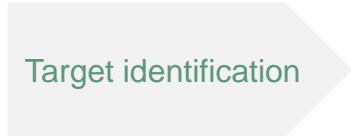


# miR132: Mode of Action

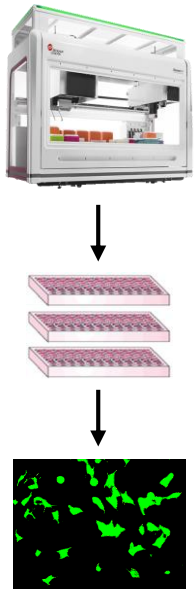


Thum et al., *Circulation*, 2007  
 Ucar et al., *Nature Genet*, 2010  
 Ucar, Gupta, et al., *Nature Comm*, 2012  
 Kumarswamy et al., *Eur Heart J*, 2014  
 Foinquinos et al., *Nature Comm*, 2020  
 Batkai et al., *Eur Heart J*, 2021  
 Hinkel et al., *JACC*, 2021

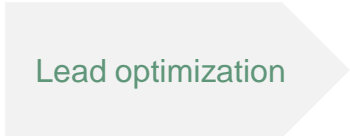
# Drug Development: From University to Spin-off



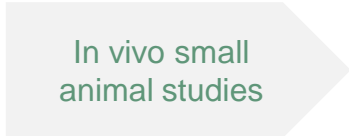
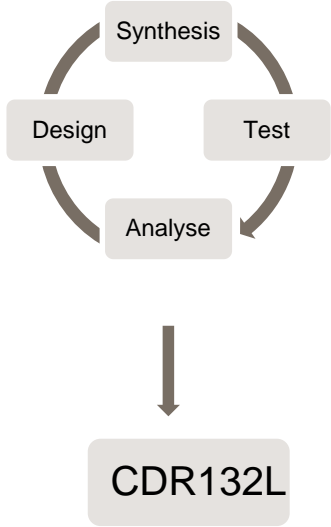
In vitro high-throughput miRNA functional profiling



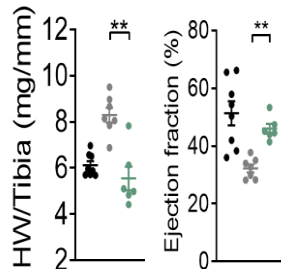
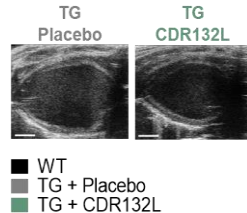
(Nat Commun. 2012)



In vitro toxicological and efficacy screening of ASOs



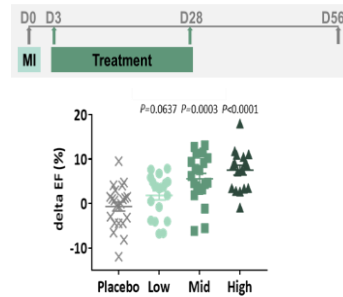
miR-132 TG during subacute post-MI HF



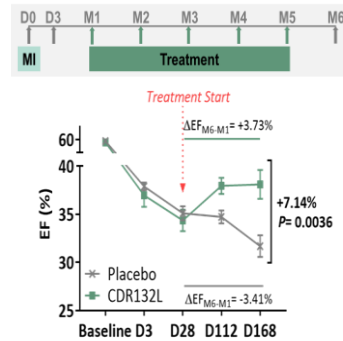
(Nat Commun. 2020)



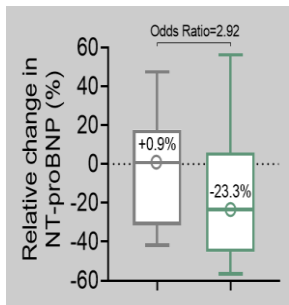
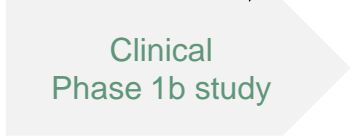
Subacute post-MI HF



Chronic post-MI HF



(Nat Commun. 2020; Eur Heart J. 2020a)

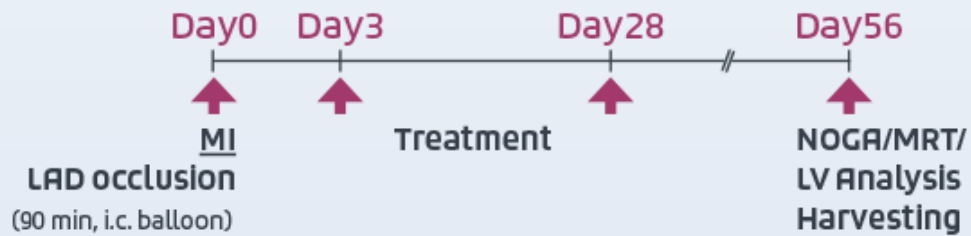
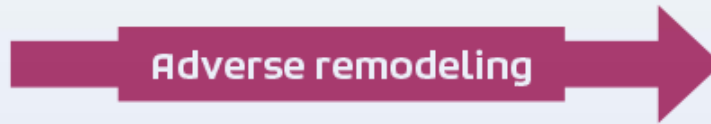


(Eur Heart J. 2021)

# ■ Translational validation in a large animal model

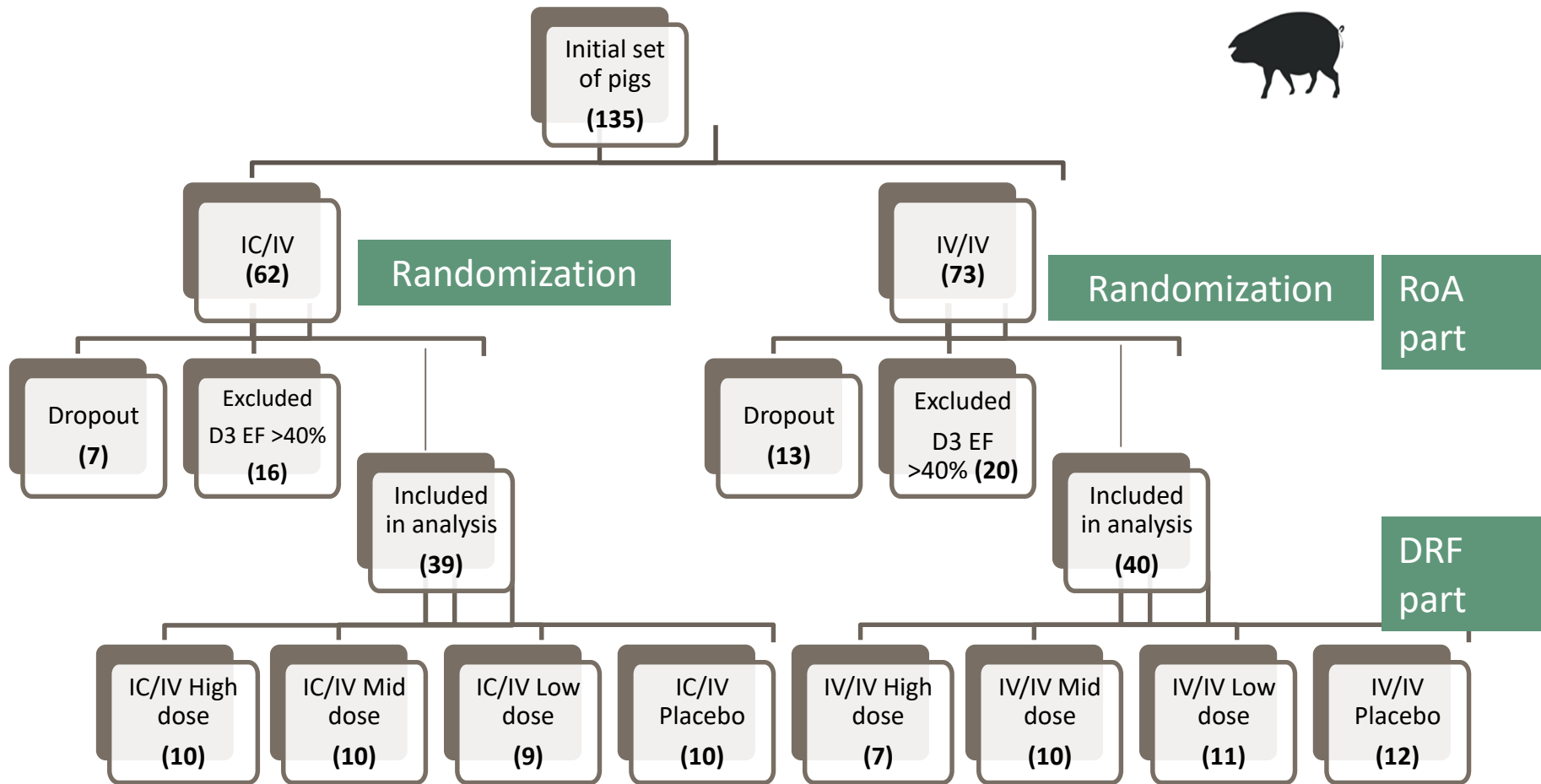


Chronic myocardial infarction in pig



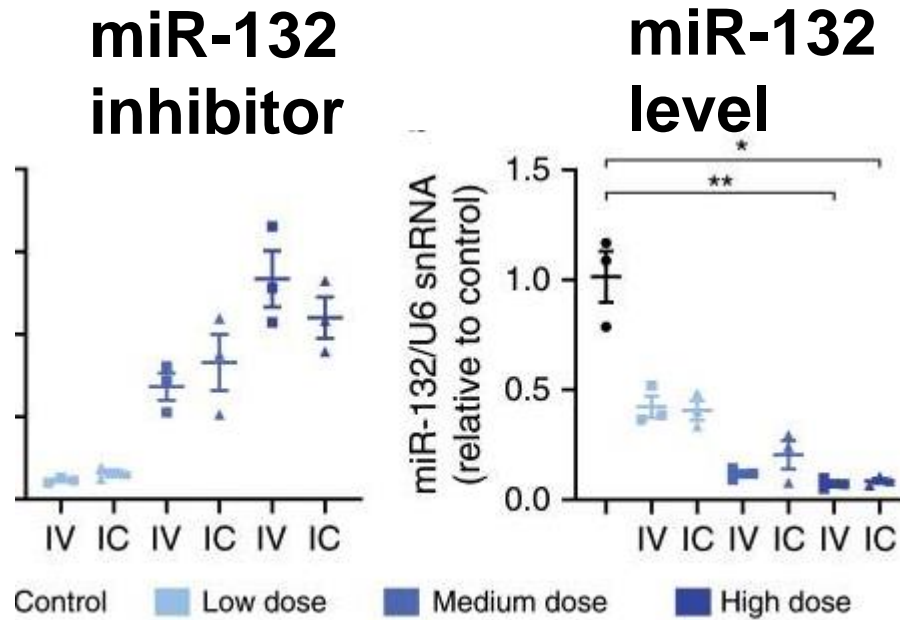
# ■ Translational validation in a large animal model

## Study Overview

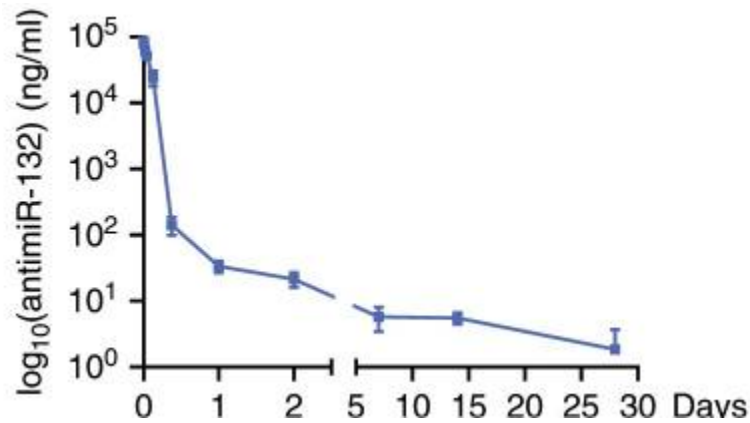


# ■ Successful target engagement

Cardiac tissue



Plasma



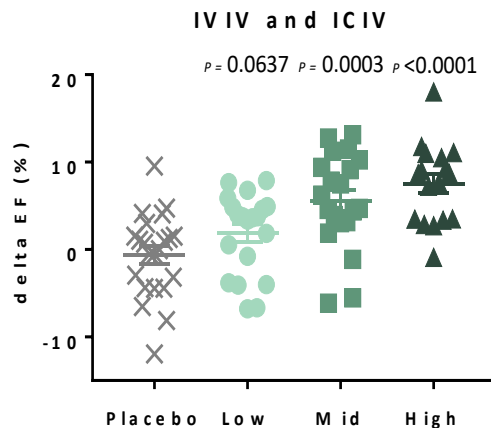
Nature Commun. 2020  
Jan 31;11(1):633.

# ■ Translational validation in a large animal model of MI

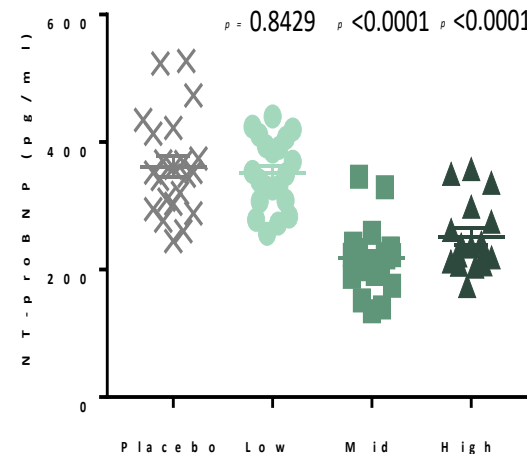
## *Ejection Fraction*



### Ejection Fraction



### Plasma NT-proBNP



- **Significant beneficial changes in EF and NT-proBNP** from Day 3 to Day 56 (delta EF) post MI in the **mid and high dose** groups indicating functional improvement.



# CDR132L reverts early post-MI heart failure

## Clinically relevant data confirmed in GLP-like studies of early post-MI (subacute) HF animal models



ARTICLE

<https://doi.org/10.1038/s41467-020-14349-2>

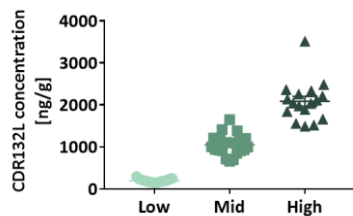
OPEN

Preclinical development of a miR-132 inhibitor for heart failure treatment

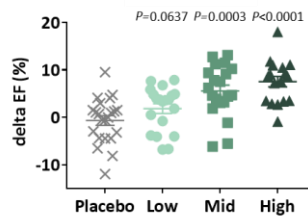
Ariana Foinquinos<sup>1,10</sup>, Sandor Batkai<sup>2,10</sup>, Celina Genschel<sup>1,2</sup>, Janika Viereck<sup>1,2</sup>, Steffen Rump<sup>2</sup>, Mariann Gyöngyösi<sup>3</sup>, Denise Trauxer<sup>3</sup>, Martin Riesenhuber<sup>3</sup>, Andreas Spannauer<sup>3</sup>, Dominika Lukovic<sup>3</sup>, Natalie Weber<sup>4</sup>, Katrin Zlabinger<sup>3</sup>, Ena Halimbegovic<sup>3</sup>, Johannes Winkler<sup>3</sup>, Jan Fiedler<sup>1</sup>, Seema Dangwal<sup>1</sup>, Martin Fischer<sup>5</sup>, Jeanne de la Roche<sup>5</sup>, Daniel Wojciechowski<sup>5</sup>, Theresia Kraft<sup>4</sup>, Rita Garamvölgyi<sup>6</sup>, Sonja Neitzel<sup>7</sup>, Shambhavi Chatterjee<sup>1</sup>, Xiaoke Yin<sup>8</sup>, Christian Bär<sup>1</sup>, Manuel Mayr<sup>9</sup>, Ke Xiao<sup>1</sup> & Thomas Thum<sup>1,2\*</sup>



### CDR132L concentration in heart tissue



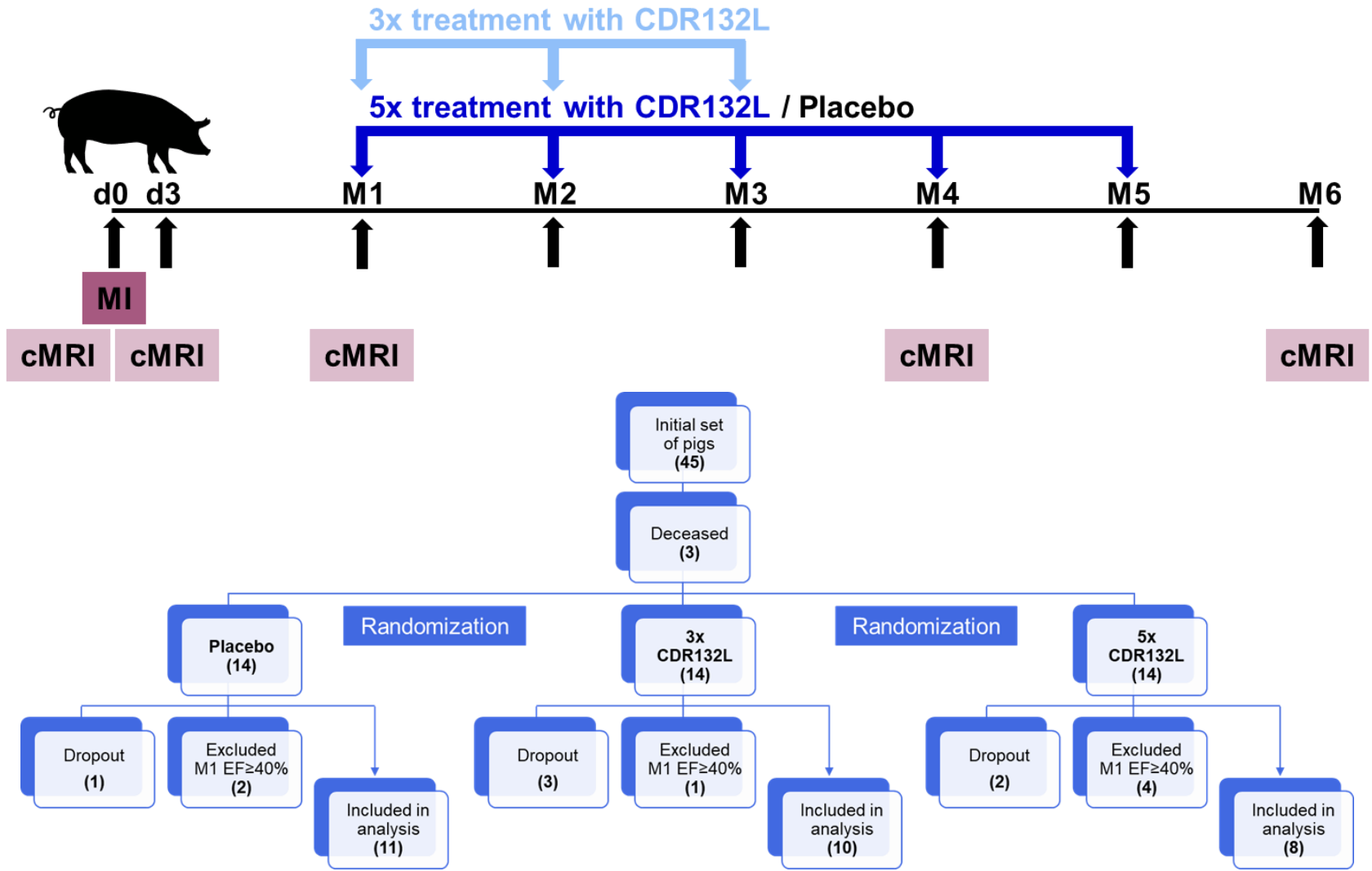
### delta EF (EF<sub>day56</sub> - EF<sub>day3</sub>)



- Dose-dependent **inhibition** of functional levels of the **target miR-132**
- Dose-dependent **improvement of cardiac function**
- **Reduction of NT-proBNP** as highly relevant clinical HF biomarker
- **Inhibition of cardiac fibrosis** and **pathological heart growth** post-MI
- Very broad **safety margin** and very good **tolerability** (no adverse drug reactions)
- **Reduction of circulating miR-132 levels** allowing future use of plasma miR-132 measurements to determine target engagement

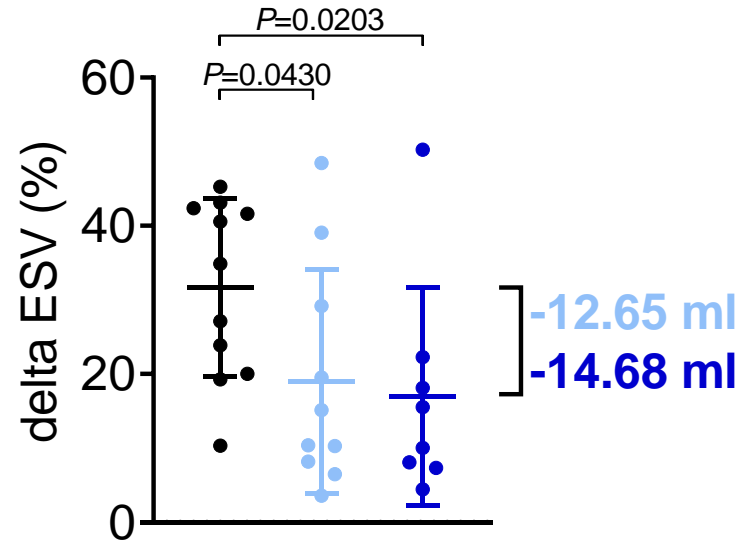
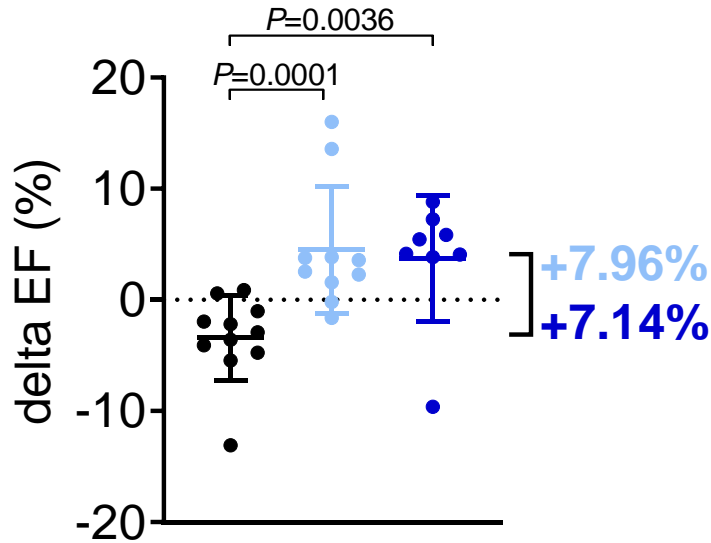
- confidential -

# Translational validation in chronic heart failure



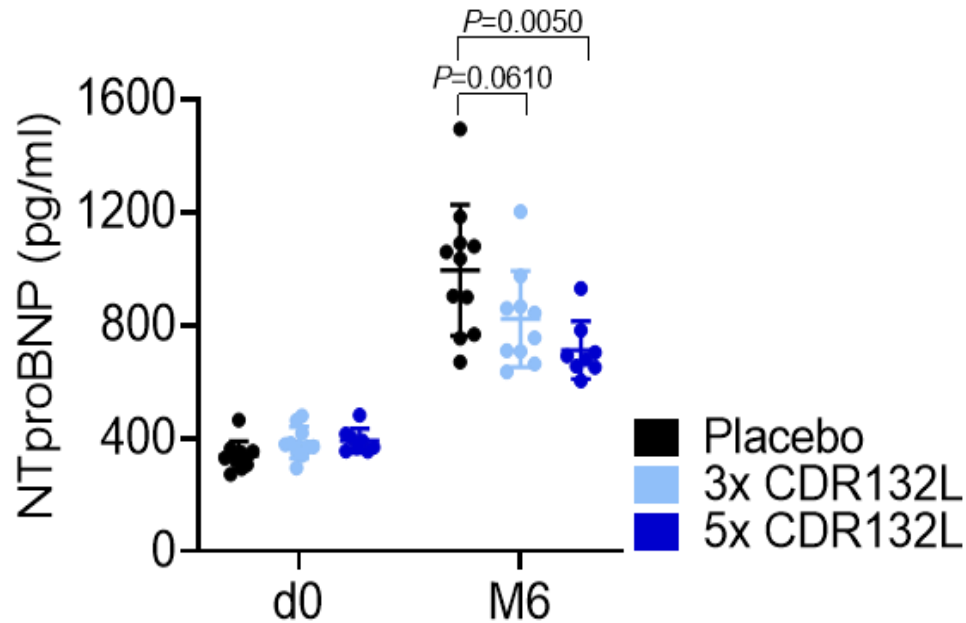
Batkai et al., *Eur Heart J.* 2020 Oct 22:ehaa791.

# ■ CDR132L leads to substantial improvements in chronic heart failure



Batkai et al., *Eur Heart J.* 2020 Oct 22:ehaa791.

- **CDR132L leads to significant reductions in circulating NTproBNP in chronic heart failure**



Batkai et al., *Eur Heart J.* 2020 Oct 22:ehaa791.

# CDR132L reverts chronic heart failure

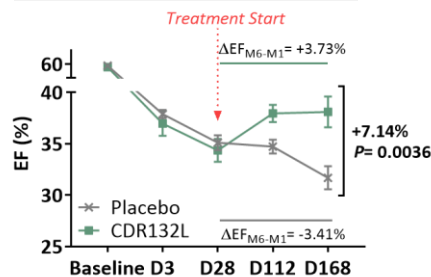
Clinically relevant data confirmed in GLP-like studies of chronic HF animals

## CDR132L improves systolic and diastolic function in a large animal model of chronic heart failure

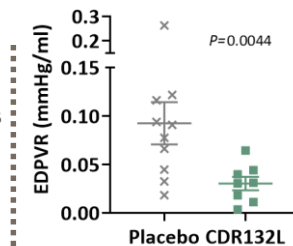
Sandor Batkai<sup>1†</sup>, Celina Genschel<sup>1†</sup>, Janika Viereck<sup>1†</sup>, Steffen Rump<sup>1</sup>, Christian Bär<sup>2,3</sup>, Tobias Borchert<sup>1</sup>, Denise Traxler<sup>4</sup>, Martin Riesenhuber<sup>4</sup>, Andreas Spannauer<sup>4</sup>, Dominika Lukovic<sup>4</sup>, Katrin Zlabinger<sup>4</sup>, Ena Hasimbegovic<sup>4</sup>, Johannes Winkler<sup>4</sup>, Rita Garamvölgyi<sup>5</sup>, Sonja Neitzel<sup>6</sup>, Mariann Gyöngyösi<sup>1</sup>, and Thomas Thum<sup>1,2,3\*</sup>



### Systolic function (LVEF over time)



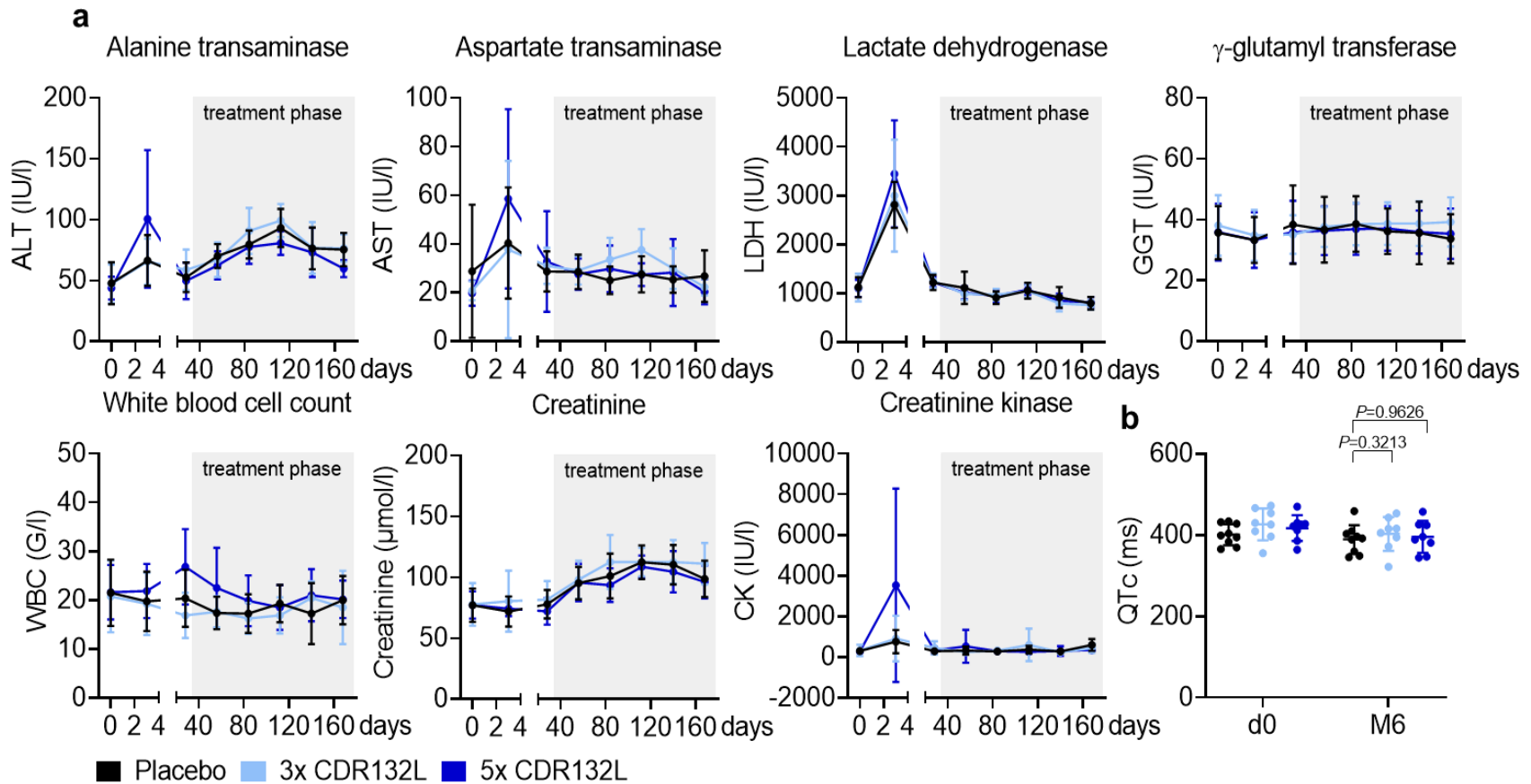
### Diastolic function (EDPVR at endpoint)



- Significant improvement of cardiac function in chronic HF
- Reversal of adverse remodeling in chronic HF post-MI
- Reduction of HF biomarker NT-proBNP
- Decrease of fibrosis and maladaptive cardiomyocyte hypertrophy (tissue level)
- Sufficient tissue exposure and successful target engagement
- Chronic treatment is safe and well tolerated

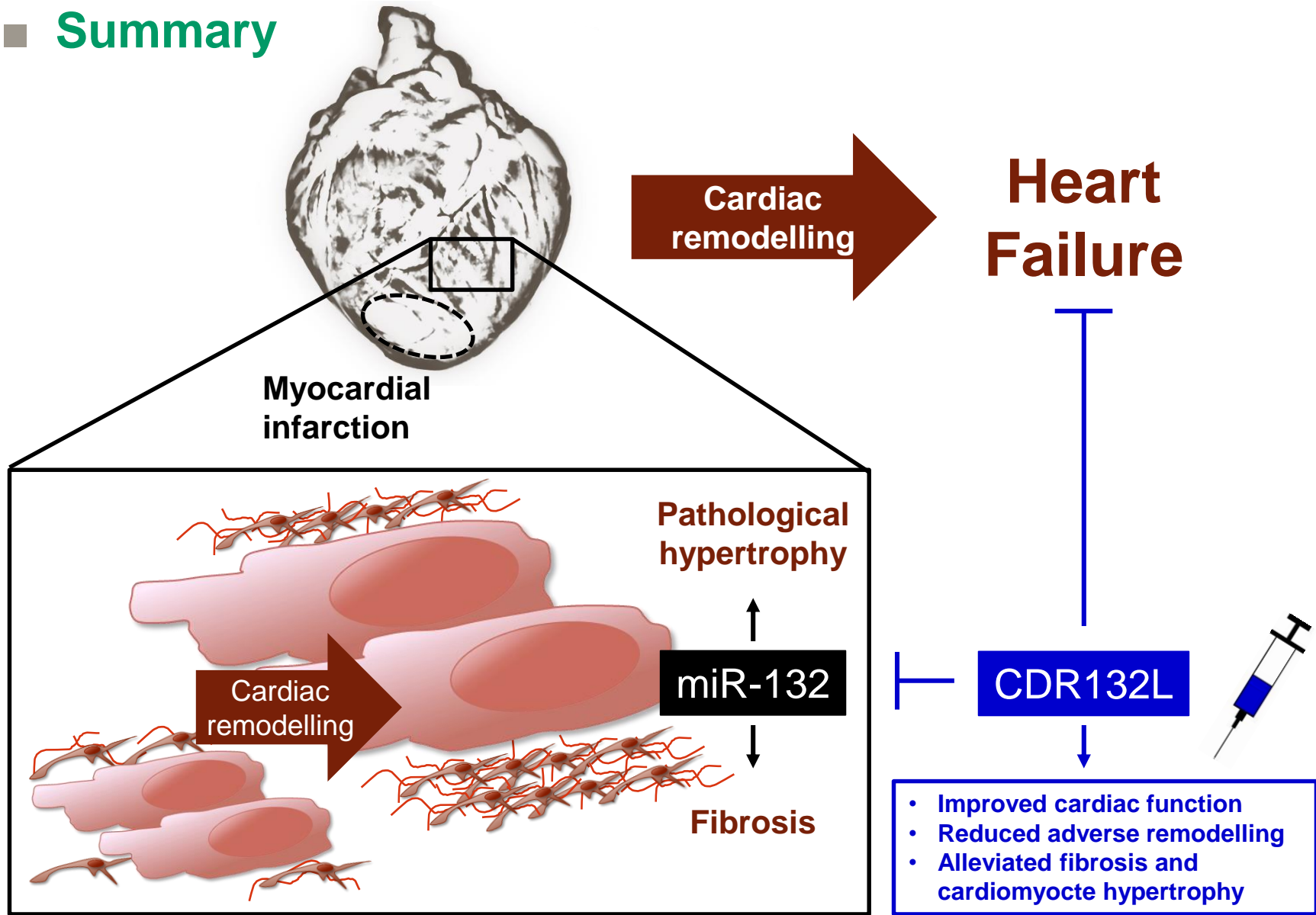
- confidential -

- Up to 5x 10mg/kg antimiR-132 did not lead to any changes in lab chemistry (esp. liver, kidney, inflammation, platelets)



Batkai et al., *Eur Heart J.* 2020 Oct 22:ehaa791.

# Summary



## ■ Development in line with regulatory requirements

- Legal requirements acting as **legal sponsor of clinical trials**:
  - **European level**: council directive 2001/20/EC including its amendments implements good clinical practice (GCP)
  - **German law**: AMG and its subsequent GCP-ordinance (GCP-Verordnung, GCP-V).
- Legal requirements on **quality and manufacture**
  - **European level**: EudraLex Volume 4 GMP
  - **German law**: Arzneimittel- und Wirkstoffherstellungsverordnung – AMWHV a implementing regulation of Arzneimittelgesetzes (AMG)
- Legal requirements on **non-clinical** development
  - **European level**: Organization for Economic Cooperation and Development (OECD)
  - **German law**: Chemical Law (ChemG), § 19a-d



- **Regulators involved in development planning and performance from the beginning on**
  - To ensure regulatory **compliance** of the proposed development program (incl. Pharmacology, Toxicology and PK)
  - For **alignment** on manufacturing strategy and specifications
  - To ensure regulatory **acceptance** of the proposed clinical setting and indication
- Central development milestones were presented to regulators in **Scientific Advice** meetings

## ■ Regulatory confirmation of development allowed to go straight into patients

Scientific advice from German regulators (**BfArM**) in Nov 2017 and Nov 2018:

Pre-clinical package and CMC sufficient to start FiH studies.

Risk/benefit analysis of efficacy and safety data allow to go straight into HF patients.

UK regulators (**MHRA**) approved in May 2019 the CTA for the FiH - study:

Confirming stable HF patients as volunteers and agree to dose escalation and repeat dose in the same patient.

Advantage: PK, safety, and PD data already from target population available.

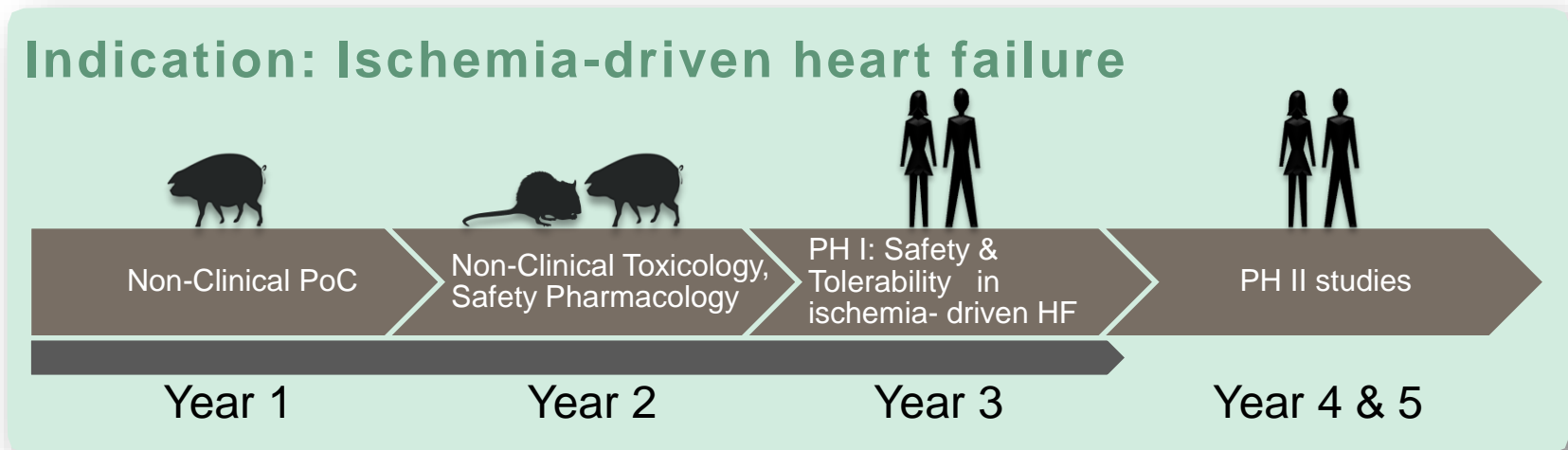
**FDA** feedback in pre-IND meeting on Oct 31, 2019:

Pre-clinical data-package incl. planned chronic tox studies (rat, minipig) agreed

CMC suitable

Phase 2 development plan and study outlines for PoC studies in early post-MI and chronic HF agreed.

## ■ Path to the clinics

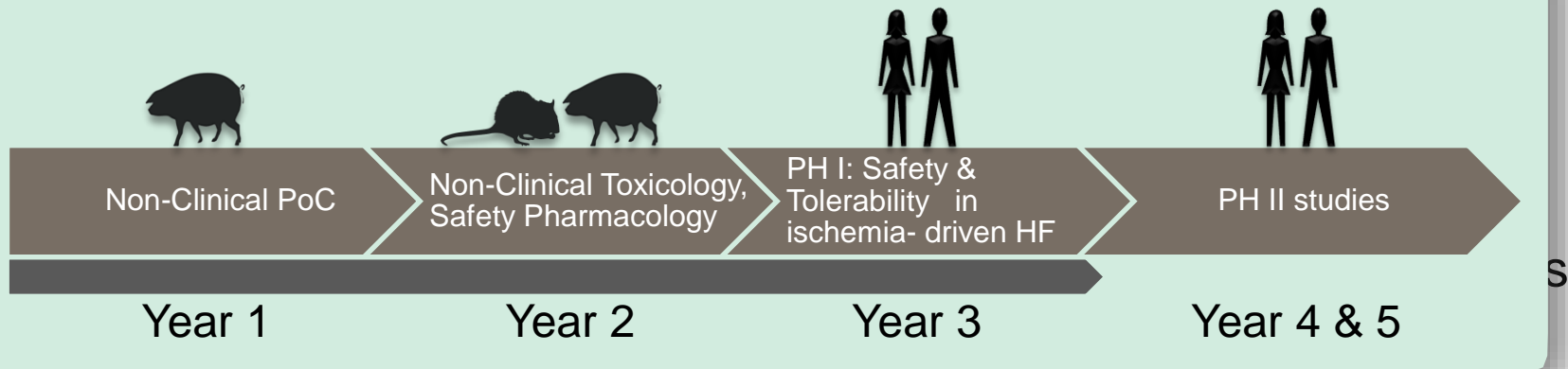


### Clinical Phase 1b study completed:

Study to Assess Safety, PK and PD Parameters of CDR132L  
ClinicalTrials.gov Identifier: NCT04045405

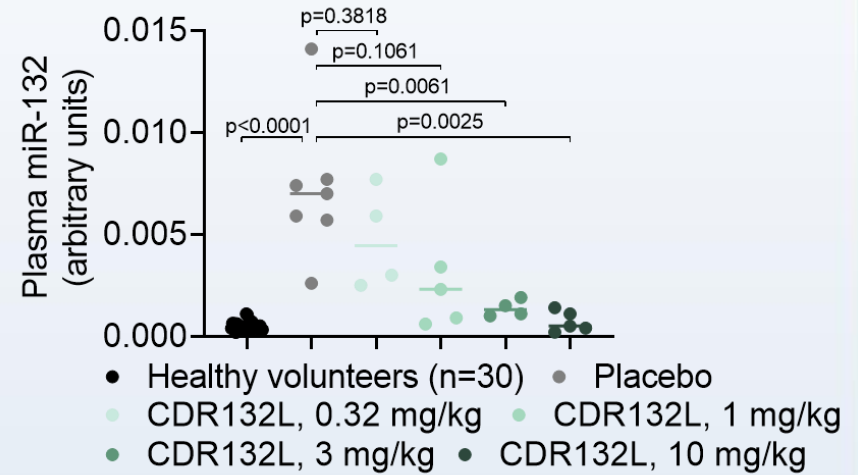
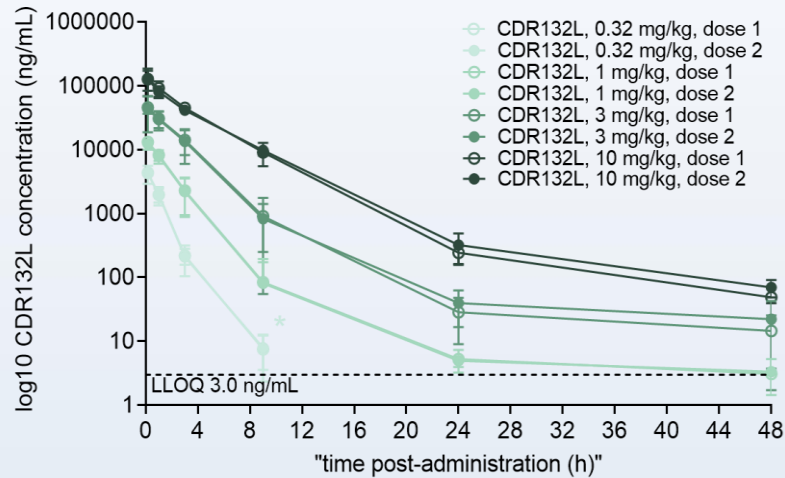
## ■ Path to the clinics

### Indication: Ischemia-driven heart failure



- heart failure of ischemic origin (NYHA 1-3).
- CDR132L was administered to 28 patients at dose-escalating single and matching repeat doses (5:2 randomized; at 4 weeks intervals).
- Primary endpoint: safety
- Secondary endpoint: pharmacokinetic (PK) profile of CDR132L

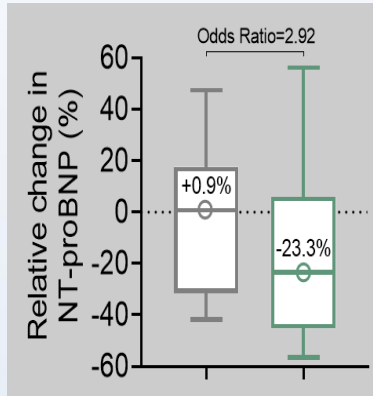
# Word-wide first study of an oligonucleotide therapeutics in heart failure patients (phase 1b study; *NCT04045405*)



- ✓ **Dose-linear pharmacokinetic profile**
- ✓ **Successful target engagement in HF patients**

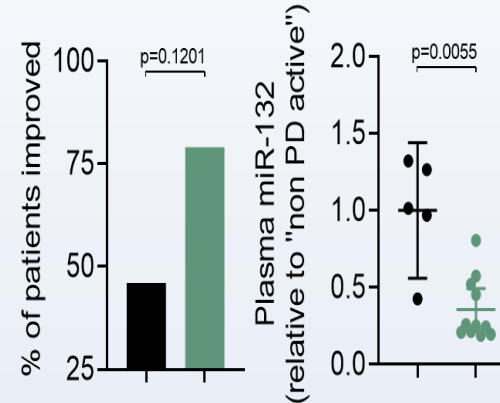
Täubel et al., *Eur Heart J*, 2020

# Word-wide first study of an oligonucleotide therapeutics in heart failure patients (phase 1b study; *NCT04045405*)



NT-proBNP relative change per group

Median (circles) and 25%/75% interquartile ranges (bars) for relative changes of NT-proBNP from baseline to day 112.



LVEF and NT-proBNP (mean $\pm$ SEM)

% Pat with absolute increase >2% in LVEF and >10% reduction in NT-proBNP

- ✓ **Very good safety and tolerability**
- ✓ **Clinically meaningful median reduction of 23.3 % for NT-proBNP**
- ✓ **Indicative cardiac functional improvements**

Täubel et al., *Eur Heart J*, 2021

# Phase 1b: all endpoints met successfully

- Excellent tolerability and safety of CDR132L in patients with heart failure in single and repeat dose escalating study conducted on top of standard of care.
- PK profile shows high level of dose linearity and no signs of accumulation , as seen in pre-clinical large animal models.
- Unique Mode of Action in heart failure confirmed, target engagement validated, and various exploratory pharmacodynamic (PD) parameters reaffirm best-in-class profile.
- Clinical data are confirming the beneficial effects already observed in pre-clinical studies.
- NT-proBNP decreased in median 23.3% at 4 months.
- Current Phase 1b study results allows further development in HFrEF and HFpEF patients.

# Acknowledgement

